

CP86 REGULATORY COMPLIANCE SOLUTION

Giving you the right compliance toolset to demonstrate CP86 compliance, free up resources and create endless efficiencies for the role of DP's and directors, making their roles easier to fulfil.

PROBLEM

Irish Fund Management Companies ('FMCs') may be taking steps to be compliant yet struggle to prove out steps to compliance. Manual tracking methods are time-consuming and error-prone. They are unable to demonstrate management of all activity and evidence early decision making in that the appropriate steps of due diligence on their delegates is carried out.

These manual processes lead to **poor quality data, poor decisions and are costing financial firms millions in wasted man hours and regulatory fines.**

SOLUTION

GECKO Governance is the overarching solution to oversee your governance operations and compliance.

To meet industry demand, we have a pre-existing CP86 module that acts as a foundation for you to build out an environment that encompasses your own policies and procedures.

Built and backed with the highest level of regulatory expertise, GECKO calendarises your tasks and has flexible workflows to fit around your processes and procedures, giving you a real-time governance dashboard with a holistic view of current, progress and upcoming deadlines.

KEY FEATURES



Centralised Dashboard

Manage third parties, multiple streams of activity and projects through one centralised traffic light dashboard, while maintaining visibility of your entire team.



Calendar Oversight

Preparation of corporate and regulatory compliance calendars and reporting schedules to facilitate the distribution of work with ease.



Document Repository

House all your documents in a secure environment and retrieve and export as needed in various file types.



Verifiable Audit Trail

Time stamped audit trail of activity enabling better transparency of actions taken and accountability of each employee.



Customisable Reporting

Have the most up-to-date files to slice and dice the data you need to generate bespoke reports to meet regulator requests on time.

DEFINITIVE SOLUTIONS FOR THE CP86 FRAMEWORK



TAILORED CP86 WORKFLOWS



DELEGATE OVERSIGHT



CUSTOMISABLE REPORTING



REGULATORY COMPLIANCE



OPERATIONAL DUE DILIGENCE



RISK MANAGEMENT